SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ress of Reporting I	Person*	2. Issuer Name and Ticker or Trading Symbol MESA AIR GROUP INC [MESA]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>GILLMAN</u>	BRIAN S				Director	10% Owner				
(Last) 410 44TH STI	(First) (Middle) TREET, SUITE 700		3. Date of Earliest Transaction (Month/Day/Year) 06/01/2020	x	Officer (give title below) EVP/GC/Se	Other (specify below) cretary				
(Street)	AZ	85008	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Re					
(City)	(State)	(Zip)	—		Form filed by More the Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	06/01/2020		М		19,747	Α	\$0.00	113,033	D	
Common Stock	06/01/2020		F		8,205	D	\$3.35	104,828	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Award	\$0.00	06/01/2020		М			15,179	06/01/2020	(1)	Common Stock	15,179	\$0.00	34,496	D	
Restricted Stock Award	\$0.00	06/01/2020		М			4,568	06/01/2020	(2)	Common Stock	4,568	\$0.00	29,928	D	
Restricted Stock Award	\$0.00	06/01/2020		A		59,701		06/01/2021	(3)	Common Stock	59,701	\$0.00	89,629	D	

Explanation of Responses:

1. A restricted stock award of 92,437 was granted under the 2018 Equity Incentive Plan on August 10, 2018. Additional tranches of this award will vest as follows: 5,707 shares on June 1, 2021; and 7,500 shares on July 21, 2020. An additional restricted stock award of 7,583 was granted under the 2018 Equity Incentive Plan on July 15, 2019 and will vest on July 15, 2020.

2. A restricted stock award of 13,706 was granted under the 2018 Equity Incentive Plan on June 1, 2019. Additional tranches of this award will vest as follows: 4,568 shares on June 1, 2021; and 4,570 on June 1, 2022.

3. A restricted stock award of 59,701 was granted under the 2018 Equity Incentive Plan on June 1, 2020. Tranches of this award will vest annually in equal one third increments.

Remarks:

Brian Gillman

** Signature of Reporting Person

06/03/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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